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August 27, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

RE: U.S. Patent Application Serial No. 09/758,951

Filed: January 12, 2001

Title: Method for Establishing Communication Channel Using Information

Storage Media

Inventor(s): Y.Yoon, et al.

Attorney Docket No.: 5001-0012

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above-identified patent application.

- · 1. Transmittal Coversheet;
- 2. Response to Notice to File Missing Parts of Application;
- 3. Petition for Extension of Time Under 37 C.F.R. § 1.136;
- 4. Assignment Coversheet;
- 5. Check #3823 (filing fee, surcharge, and recordation fee) for \$880;
- 6. Check #3824 (4-month extension) for \$1390.00; and
- 7. One (1) Postcard.

It is respectfully requested that the postcard be stamped with the filing date of these documents and returned to our courier as soon as possible.

Respectfully submitted,

Toni-Junell Herbert Reg. No. 34,348

Shanks & Herbert TransPotomac Plaza 1033 N. Fairfax Street, Suite 306 Alexandria, VA 22314 (703) 683-3600

#3

In re Application of:

Y. Yoon et al.

Art Unit: 2152

Serial No. 09/758,951

Examiner: Not yet assigned

Filed: January 12, 2001

Atty. Docket: 5001-0012

For: Method For Establishing Communication

Channel Using Information Storage Media

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents Washington, D.C. 20231

Attention: Manager, Application Branch

Sir:

In response to the **Notice to File Missing Parts of Application – Filing Date Granted** dated February 27, 2001 Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. Copy of the Notice to File Missing Parts;
- 2. Original Combined Declaration and Power of Attorney for Patent Application, executed by the inventor(s);
- 3. Cover sheet for Assignment;
- 4. Assignment to <u>Yage Co., Ltd.</u> official recordation and return of which is respectfully requested;
- 5. Return postcard; and

6. Our check for \$880.00 to cover:

\$\frac{710.00}{130.00}\$ Filing Fee for Patent Application (37 C.F.R. § 1.16) \$\frac{130.00}{40.00}\$ Surcharge for late filing of Declaration (37 C.F.R. § 1.16) \$40.00 Assignment recordation fee(s) under (37 C.F.R. § 1.21)

It is respectfully requested that the attached prepaid postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-0622. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefore are hereby authorized to be charged to our Deposit Account No. 50-0622. A duplicate copy of this letter is enclosed.

Respectfully submitted,

SHANKS & HERBERT

зу: __

Toni-Junell Herbert Reg. No. 34,348

Date:

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Page 1 of 1 COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023!

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/758,951

01/12/2001

Y. Yoon

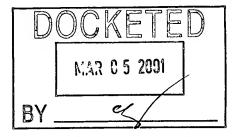
5001-0012

CONFIRMATION NO. 9642

FORMALITIES LETTER

OC000000005805580

Shanks & Herbert TransPotomac Plaza Suite 306 1033 N. Fairfax Street Alexandria, VA 22314



Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

. An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/28/2001 SSESHET: 00000113 09758951